



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT**

Docket Number:

12170/6

Application Number

09/809,025

Filing Date

March 16, 2001

Examiner

Not Yet Assigned

Art Unit

2812

Invention Title

DIGITAL OPTIONS HAVING DEMAND-BASED,
ADJUSTABLE RETURNS, AND TRADING
EXCHANGE THEREFORE

Inventor(s)

LANGE et al.

Addressed to:

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450 on

Date:

May 22, 2006

Signature:

Dalia S. Grimberg, Reg. No. 47,611

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicant hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
2. Copies of each specified patent, publication or other information listed on the modified PTO form 1449 is enclosed, except as otherwise indicated on the modified PTO form 1449.
3. The Commissioner is authorized to charge Kenyon & Kenyon's Deposit Account No. 11-0600 for the \$180.00 fee for filing this Supplemental Information Disclosure Statement. A duplicate copy of this communication is enclosed for charging purposes.

Date:

May 22, 2006

By:

Dalia S. Grimberg, Reg. No. 47,611

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**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS
PTO FORM 1449**

Atty. Docket No.
12170/6

Serial No.
09/809,025

Applicant(s)
LANGE et al.

Filing Date
March 16, 2001

Group
2812

U. S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE
	Pub. No. US 2001/0051540 A1	Pub. Date December 13, 2001	Hindman et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS

EXAMINER'S INITIALS		AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered; whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.